

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mark Trulson et al.

Baker, Maurie Garcia

Serial No: 09/922, 426

1639

Filing Date: August 3, 2001

INFORMATION DISCLOSURE

STATEMENT

Group Art Unit:

Examiner:

Title: METHODS OF ARRAY

SYNTHESIS

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants thank the Examiner for the Notice of Allowance received on this application. However, it has recently come to our attention that certain references were not cited to the Office. In an abundance of caution, Applicants hereby submit the enclosed RCE and an Information Disclosure Statement containing the references.

Pursuant to 37 CFR §§ 1.97-98, Applicants hereby submit the enclosed PTO form 1449. Copies of these references are enclosed. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR § 1.56 and is being filed concurrently with a Request for Continued Examination (RCE) and before the mailing date of a first Office Action. Accordingly, no fee is due.

The references have not been reviewed in sufficient detail to make any representation regarding materiality and, in particular, no representation is intended as to the relative importance of any portion of the references. This statement is also not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 USC § 102 or § 103.

In view of the above disclosure, Applicants believe that the current application is in order for an expedited allowance.

If the Examiner has any questions, please call the undersigned at (408) 731-5000. Please apply any charges or credits to Deposit Account No. 01-0341.

Dated: 8 11 04

Respectfully submitted,

Reg. No. 40,078

Customer No. 22886 Legal Department Affymetrix, Inc/ 3380 Central Expressway Santa Clara, CA 95051

Tel: (408) 731-5000/Fax (408) 731-5392

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FORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 09/922,426

Filing Date August 3, 2001

First Named Inventor Trulson et al.

Art Unit 1639

Examiner Name Maurie Garcia Baker

(Use as many sheets as necessary)

Sugatute for form 1449A/PTO

Sheet 1 of 3 Attorney Docket Number 3305.1

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
		Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
	1	US-4,705,729	11/10/1987	Sheats, James R.		
	2	US-5,143,854	09/01/1992	Pirrung, Michael C., et al.		
	3	US-5,288,514	02/22/1994	Ellman, Jonathan A.		
	4	US-6,040,138	03/21/2000	Lockhart, David J., et al.		
	5	US-6,083,697	07/04/2000	Beecher, Jody E., et al.		
	6	US-6,174,998	01/16/2001	Klaus Mühlegger, et al.		
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	T
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵
	7	PCT/US96/14839	09/13/1996			
	l					

Examiner Signature	Date Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03)

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Substitution form 1449B/PTO ÖRMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/922,426 Filing Date August 3, 2001 First Named Inventor Trulson et al. 1639 Art Unit Examiner Name Maurie Garcia Baker Attorney Docket Number 3305.1

(Use as many sheets as necessary)

2 of 3 Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	8	Griffing and West IEEE Electron Device Letters EDL-4(1): 14(1983).	
	9	GHANDI, VLSI Fabrication Principles, 1983, Chapter 10.	
	10	HOFER et al., Contrast enhanced uv lithography with polysilanes, Advances in Resist Technology, 1984, 108-16, SPIE Vol. 469.	
	11	HALLE, L., et al., J. Vac. Sci. Technol. B., 1985, 323-326, Vol. 3(1).	
	12	SHEATS, et al., SPIE Proc. 1986, 631, 171	
	13	UCHINE, et al., Proc. Poly. Mat. Sci. And Eng., 1986, 55, 604-607.	
	14	PAUL R. WEST, et al., Contrast Enhanced Photolithography: Application of Photobleaching Processes in Microlithography, J. Imaging Science, March/April 1986, 65-68, Vol. 30, No. 2.	
	15	DON R. STROM, Optical Lithography and Contrast Enhancement, Semiconductor International, May 1986, 162-67.	
	16	ENDO, et al., High aspect-ratio resist pattern fabrication by alkaline surface treatment, J. Vac. Sci. Technol. B., 1989, 1076-79, Vol. 7(5).	
	17	UCHINO, SHOU-ICHI et al., Synthesis of new metal-free diazonium salts and their applications to microlithography, Journal of Photopolymer Science and Technology (1989); 2 (1): 255-299.	
	18	REISER, et al., Photoreactive Polymers: the Science and technology of Resists, 1989, 226-29.	

	 		
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(Use as many sheets as necessary)

Sheet of 3

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No.1	and north (book, magazino, journal, bond, bympoolam, batalog, bto.), bago(b), volume 10000		
	19	SALAMY, et al., Proc. Electrochem. Soc., 1989, 90:36.		
	20	DURAND, et al., Nucleic Acids Res., 1990, 6353-59, Vol 18., No. 21.		
	21	TOSHIHIKO TANAKA, et al., Sub-Halfmicron Lithography Using a High-Contrast i-Line CEL, Japanese J. Applied Physics, Sept. 1990, 1860-61, Vol. 29, No. 1.		
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	24	THOMPSON, L.F., et al., Introduction to Microlithography, America Chemical Society, 1994 212-232.		
	25	HUANG, Proc. SPIE - Int. Soc. Opt. Eng., 1999, 3678, (Pt. 2) 340-51.		
Examiner		Date		
Signature		Considered		

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